

September 2022

**RUSSELL JAME**

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**APPOINTMENTS**

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<b>University of Kentucky, Gatton College of Business &amp; Economics</b>	Lexington, KY
Associate Professor of Finance	2019-Present
- Ashland Oil Professor of Finance	2021-Present
- Garvice D. Kincaid Professor of Finance	2019-2021
- Director of Graduate Studies for Finance	2018-Present
Assistant Professor of Finance	2013-2019
- Garvice D. Kincaid Faculty Fellow	2017-2019
- Carol Martin Gatton Faculty Fellow	2015-2017
<b>University of New South Wales, Australian School of Business</b>	Sydney, NSW
Senior Lecturer (Assistant Professor) of Finance	2010-2013

**EDUCATION**

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<b>Emory University, Goizueta School of Business</b>	Atlanta, GA
Ph.D. in Finance	May 2010
<b>Georgetown University, McDonough School of Business</b>	Washington, DC
Bachelors of Science in Business Administration: <i>Summa Cum Laude</i>	May 2005

**PUBLICATIONS**

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“Can FinTech Competition Improve Sell-Side Research Quality?” 2022, (with Stanimir Markov and Michael Wolfe), *The Accounting Review*, forthcoming.

“The Democratization of Investment Research and the Informativeness of Retail Investor Trading” (with Mike Farrell, T. Clifton Green, and Stanimir Markov), 2022, *Journal of Financial Economics* 145, 616-641.

“Non-Deal Roadshows, Informed Trading, and Analyst Conflicts of Interest” (with Dan Bradley and Jared Williams), 2022, *Journal of Finance* 77, 263-315.

“Salience and Mutual Fund Investor Demand for Idiosyncratic Volatility” (with Christopher Clifford, Jon Fulkerson, and Bradford Jordan), 2021, *Management Science* 67, 5234-5254.

“Executive Extraversion: Career and Firm Outcomes” (with T. Clifton Green and Brandon Lock), 2019, *The Accounting Review* 94, 177-204.

“Liquidity Provision and the Cross-Section of Hedge Fund Returns” 2018, *Management Science* 64, 3288-3312.

“The Value of Crowdsourced Earnings Forecasts” (with Rick Johnston, Stanimir Markov, and Michael Wolfe), 2016, *Journal of Accounting Research* 54, 1077-1110.

“Access to Management and the Informativeness of Analyst Research” (with T. Clifton Green, Stanimir Markov, and Musa Subasi), 2014, *Journal of Financial Economics* 114, 239-255.

“Broker-Hosted Investor Conferences” (with T. Clifton Green, Stanimir Markov, and Musa Subasi), 2014, *Journal of Accounting and Economics* 58, 142-166.

- Summarized in *Finance and Accounting Memos*, 2016.

“Industry-Based Style Investing” (with Qing Tong), 2014, *Journal of Financial Markets* 19, 110-130.

“Company Name Fluency, Investor Recognition, and Firm Value” (with T. Clifton Green), 2013, *Journal of Financial Economics* 109, 813-834.

- Summarized in *Harvard Business Review*, September 2013.

“Strategic Trading by Index Funds and Liquidity Provision Around S&P 500 Index Additions” (with T. Clifton Green), 2011, *Journal of Financial Markets* 14, 605-624.

## **ACTIVE WORKING PAPERS**

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“Place Your Bets? The Market Consequences of Investment Research on Reddit’s Wallstreetbets”, 2022, (with Dan Bradley, Jan Hanousek Jr., and Zicheng Xiao)

- Revise & Resubmit: *Review of Financial Studies*
- Presentations (including scheduled): Future of Financial Information (2021), FARS Midyear Meeting (2022), Texas A&M Young Scholars Conference (2022)

“On the Road to Better Governance: Private Meetings and Mutual Fund Voting” 2022, (with Jesse Ellis and Will Gerken)

- Presentations (including scheduled): Western Finance Association (2022), CICF (2022), NFA (2022)

“Confederate Memorials and the Housing Market” 2022, (with T. Clifton Green, Jaemin Lee, and Jaeyeon Lee)

- Presentations (including scheduled): AFA (2023)

“Biased Expectations and the Time-Series of Anomaly Returns” 2022, (with Charles Clarke)

## **GOOGLE SCHOLAR CITATION SUMMARY:**

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Total Citations: 1223

h-Index: 13

i10-Index: 15

## **ACADEMIC PRESENTATIONS**

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(\* indicates presentation by co-author)

2023: AFA (New Orleans)

2022: AAA FARS Midyear Meeting (Virtual)\*, Young Scholars Finance Consortium (College Station)\*, Western Finance Association (Portland), CICF (Virtual), NFA (Banff)\*, Curtin University (Virtual), Kansas University, Lehigh University

2021: AFA (Virtual), Corporations and Covid-19 Workshop (Virtual)\*, Future of Financial Information Seminar Series (Virtual)

2020: AFA (San Diego), AAA FARS Midyear Meeting (Nashville), Midwest Finance Association (Virtual), Columbia News & Finance Conference (New York – cancelled) \*

2019: AFA (Atlanta), AAA FARS Midyear Meeting (Seattle)\*, Hawaii Accounting Research Conference (Honolulu)\*, Midwest Finance Association (Chicago)\*, “Smokey” Mountain Finance Conference (Townsend, TN)\*, University of Connecticut Finance Conference (Hartford), SFS Cavalcade (Pittsburgh), WFA (Huntington Beach)\*, NFA (Vancouver), CFEA (New York)\*, TCU Finance Conference (Fort Worth)\*

2018: Baruch College, Middle Tennessee State University

2017: University of South Florida, University of New South Wales, University of Technology Sydney, FIRS (Hong Kong), Colorado Summer Accounting Conference (Beaver Creek)\*, AAA Annual Meeting (San Diego)\*

2016: AAA Annual Meeting (New York) \*

2015: 7<sup>th</sup> Annual Hedge Fund Conference (Paris), Jim and Jack Conference (Knoxville), European Finance Association (Vienna), Liquidity Risk in Asset Management Conference (Toronto), Wilfred Laurier, Miami University (Ohio)

2014: AFA (Philadelphia), University of Waterloo, FMA (Nashville), University of Florida, 3<sup>rd</sup> Luxembourg Asset Management Summit

2013: AAA FARS Midyear Meeting (San Diego)\*, SFS Cavalcade (Miami)\*, University of Technology Sydney, University of Alabama, University of Georgia, Temple University, Clemson

University, University of Kentucky, Georgia State University, Massey University (Manawatu), Massey University (Albany)

2012: AAA Annual Meeting (DC)\*, AAA FARS Midyear Meeting (Chicago)\*, University of Technology Sydney, Centre for Pensions and Superannuation (Sydney)

2011: University of Technology Sydney, FIRS (Sydney), Yale Behavioral Science Conference\*, European Finance Association (Stockholm), Behavioral Finance and Capital Markets Conference (Adelaide), Deakin Finance Colloquium (Melbourne), UT Dallas Corporate Governance Conference\*

2010: London School of Economics PWC Conference, Emory University, University of Illinois-Chicago, University of Melbourne, University of New South Wales

2009: FMA Doctoral Student Consortium (Reno), Drexel University, Emory University

## **TEACHING EXPERIENCE**

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### **Gatton College of Business, University of Kentucky**

FIN 430: Financial Modeling (Undergraduate)  
FIN 432: Quantitative Portfolio Management (Undergraduate)  
FIN 630: Financial Modeling and Analysis (Masters in Finance)  
FIN 750: Seminar in Empirical Investments (PhD)  
FIN 791: Advances Research in Finance Seminar (PhD)

### **Australian School of Business, University of New South Wales**

FINS 5541: Advanced Investments & Funds Management (Masters in Finance)

## **PROFESSIONAL ACTIVITIES AND UNIVERSITY SERVICE**

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**Referee:** *Review of Financial Studies, Management Science, Journal of Accounting Research, The Accounting Review, Journal of Financial and Quantitative Analysis, Review of Finance, Review of Asset Pricing Studies, Review of Accounting Studies, Journal of Financial Markets, Journal of Corporate Finance, Journal of Empirical Finance, Journal of Banking and Finance, Finance Research Letters, The Financial Review, Journal of Business Finance & Accounting, Accounting and Finance, Quantitative Finance, Journal of Business Research, Review of Financial Economics, AAA Financial Reporting Section Mid-year Meeting, Hong Kong Research Grants Council*

**Discussant:** AFA (2016, 2018), CFEA (2018, 2021), CICF (2021), FARS Midyear Meeting (2020), FIRS (2011, 2017), FMA (2009, 2010, 2013, 2014), FSU Sun Trust Beach Conference (2016), Luxembourg Asset Management Summit (2014), Mid-Atlantic Research Conference (2015), NFA (2021)

**Program Committee:** Australasian Finance & Banking Conference (2010-2012), FMA (2010, 2011, 2013-201), FMA Consortium on Trading Strategies and Institutional Investing (2018),

FMA Consortium on Factor Investing (2019), FMA Consortium on Asset Management (2020 & 2023), FMA Consortium on Asset Management and FinTech (2022), FMA Asia Pacific (2022)

**University Service (Kentucky):** Director of Graduate Studies (2018-Present), Seminar Coordinator (2016-2019), Recruiting Committee Chair (2023), Recruiting Committee Member (2014, 2016, 2017, 2018, 2022), Graduate Studies Committee for Masters in Finance (2018-Present), Library/Database Committee (2018-Present), Operating Rules Committee (2016), Research Excellence Committee (2020), Performance Review Committee (2021, 2022)

**Finance Dissertation Committee** (\* indicates Chair or Co-Chair):

- Quan (Peter) Qi\* (2023)
- Tian Qiu (2022)
- Morteza Momeni\* (2022)
- Ang (Leo) Li (2020) [First Placement: Lingnan University – Hong Kong]
- Mike Farrell\* (2019) [First Placement: University of Wisconsin Milwaukee]
- Marcus Painter\* (2019) [First Placement: Saint Louis University].

**Other Supervision**

- Michael Zhang (2021, Accounting)
- Courtney Hart (2021, Management)
- Emdadul Islam (2013, Master's Thesis at UNSW)
- Lubna Rahman (2013, Master's Thesis at UNSW)

## **MEDIA MENTIONS**

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*The Wall Street Journal, Harvard Business Review, Bloomberg, Business Insider, Barclays Hedge Insider Report, The Alpha Interface, CFO Magazine*

## **GRANTS, SCHOLARSHIPS, & AWARDS**

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Institute for Free Enterprise Summer Research Grant: 2018, 2019, 2020, 2021, 2022 (x2)

Gatton Research Excellence Team Support Program, 2018 (x2), 2022

Marguerite Pelissier Fellowship for Research Excellence in Finance, 2016-2019

AAA FARS Excellence in Reviewing Award, 2017

Gatton Research Excellence Award for Junior Faculty, 2017

Seale Faculty Fellow

BNP Paribas Hedge Fund Centre as SMU Grant, 2013

University of New South Wales ASBRG Grant, 2013

Capital Markets CRC best paper by an Early Career Researcher, 2011

University of New South Wales ASB Special Research Grant, 2011