September 2026

URTON ANDERSON
Professor of Accountancy (Retired)

Von Allmen School of Accountancy
Gatton College of Business and Economics
University of Kentucky
Lexington, Kentucky 40506

623 Gingermill Lane
Lexington, Kentucky 40509
Cell Ph. 512-971-9940
urton.anderson@uky.edu

RESEARCH AREAS: Internal and External Auditing; Quality Control and Assurance of Professional Practices and Work Groups; Combined Assurance, Compliance System/Ethics Program Design and Evaluation, Cognitive Information Processing in Accounting Decisions

TEACHING AREAS: Auditing
Managerial Accounting

EDUCATION: **Ph.D.**, Business Administration, University of Minnesota, August 1985. Major: Accounting. Supporting Areas: Psychology, Quantitative Analysis, Economics.
Dissertation: *The Auditor's Assessment of Control Risk: The Role of Causal Association in Judgments of Event Uncertainty*
M.A., University of Minnesota, June 1977. Major: Classics.
B.A., *magna cum laude*, St. Olaf College, May 1974. Majors: Greek and Philosophy.

CERTIFICATIONS: Certified Internal Auditor May 1992
Certification in Control Self-Assessment, August 1999
Certified Government Auditing Professional, December 2000
Certified Financial Services Auditor, April 2007
Certified Compliance and Ethics Professional, June 2007
Certification in Risk Management Assurance, December 2013

POSITIONS: Adjunct Professor, Von Allmen School of Accountancy, Gatton College of Business and Economics, University of Kentucky, 2022 - 2024

EY Professor of Accountancy, Von Allmen School of Accountancy, Gatton College of Business and Economics, University of Kentucky, 2013 - 2022

Director, Von Allmen School of Accountancy, Gatton College of Business and Economics, University of Kentucky, 2013 - 2021

Clark W. Thompson Jr, Professor of Accounting Education, Department of Accounting, University of Texas at Austin, 1995 - 2013.

- Academic Fellow, U. S. Securities and Exchange Commission, (Aug 2011 – July 2012)
- Chair, Department of Accounting, 2007 - 2011
- Associate Dean for Undergraduate Programs, 1997 – 2007.
- Acting Chair, Department of Accounting, 1996.

Associate Professor, Department of Accounting, University of Texas at Austin, 1989 - 1995.

Assistant Professor, Department of Accounting, University of Texas at Austin, 1985-1989.

Instructor, Department of Accounting, University of Texas at Austin, 1984 -1985.

PUBLICATIONS -- BOOKS:

Urton Anderson, Michael Head, Sridhar Ramamoorti, Mark Salamasick, Paul Sobel and Cris Riddle. *Internal Auditing: Assurance and Advisory Services, 5th Edition,* Lake Mary, Florida: Internal Audit Foundation, May 2022.

Urton Anderson and Andrew Dahle, Applying *the International Professional Practices Framework 4th Edition,* Lake Mary, Florida: Internal Audit Foundation, March 2018.

Urton Anderson, Michael Head, Sridhar Ramamoorti, Mark Salamasick, Paul Sobel and Cris Riddle. *Internal Auditing: Assurance and Advisory Services, 4th Edition,* Lake Mary, Florida: Internal Audit Foundation, April 2017.

The book was reviewed in the following scholarly journals:

- *International Journal of Accounting*, September 2018, pp. 253-254

Kurt Reding, Paul Sobel, Urton Anderson, Michael Head, Sridhar Ramamoorti, Mark Salamasick, and Cris Riddle. *Internal Auditing: Assurance and Advisory Services, 3rd Edition,* Altamonte Springs, Florida: The Institute of Internal Auditors Research Foundation, July 2013.

- French translation, *Manuel d'Audit Interne – Amélior l'efficacité de la gouvernance, du contrôle interne et du management des risques*, Paris: IFACI (Institut Français de l'Audit et du Contrôle Internes), 2015.

Kurt Reding, Paul Sobel, Urton Anderson, Michael Head, Sridhar Ramamoorti, Mark Salamasick, and Cris Riddle. *Internal Auditing: Assurance and Consulting Services,2nd Edition,* Altamonte Springs, Florida: The Institute of Internal Auditors Research Foundation, October 2009, 565 pages.

- French translation, *Manuel d'Audit Interne – Amélior l'efficacité de la gouvernance, du contrôle interne et du management des*

risques, Paris: IFACI (Institut Français de l'Audit et du Contrôle Internes), 2011.

Kurt Reding, Paul Sobel, Urton Anderson, Michael Head, Sridhar Ramamoorti, and Mark Salamasick, *Internal Auditing: Assurance and Consulting Services,* Altamonte Springs, Florida: The Institute of Internal Auditors, July 2007, 502 pages.

- Japanese translation, The Institute of Internal Auditors – Japan, 2009.
- Spanish translation, The Institute of Internal Auditors, 2009.

Urton Anderson and Andrew Dahle, *Implementing the International Professional Practices Framework: Updated 3rd Edition,* Altamonte Springs, Florida: The Institute of Internal Auditors, 2011, 316 pages.

Urton Anderson and Andrew Dahle, *Implementing the International Professional Practices Framework: 3rd Edition,* Altamonte Springs, Florida: The Institute of Internal Auditors, 2009, 270 pages.

Urton Anderson (editor/author), *Handbook for Internal Auditors,* New York: LexisNexis/MatthewBender, December 2005 to December 2007, (This handbook is updated every six months)

Urton Anderson and Andrew Dahle, *Implementing the Professional Practices Framework: 2nd Edition,* Altamonte Springs, Florida: The Institute of Internal Auditors, 2006, 254 pages.

– Chinese translation, The Institute of Internal Auditors – China, 2009.

Christy Chapman and Urton Anderson, *Implementing the Professional Practices Framework,* Altamonte Springs, Florida: The Institute of Internal Auditors, 2002, 219 pages.

Urton Anderson, *Quality Assurance for Internal Auditing*. Altamonte Springs, Florida: The Institute of Internal Auditors, 1983, 148 pages.

The book was reviewed in the following scholarly journals:

- *The Accounting Review*, January 1985, p. 144.
- *Auditing: A Journal of Practice and Theory*, Fall 1984, pp. 119-123 [two reviews - one by an academic, one by a practitioner].

PUBLICATIONS--ACADEMIC ARTICLES:

Urton Anderson, Margaret Christ, Karla Johnstone, and Larry Rittenberg, "A Post-SOX Examination of Factors Associated with the Size of Internal Audit Functions," *Accounting Horizons*, Vol. 26, No. 2 (June) 2012, pp. 167–191. (Received 2013 AAA Accounting Horizons Best Paper Award)

Urton Anderson, Kathryn Kadous, and Lisa Koonce, "The Role of Incentives to Manage Earnings and Quantification in Auditors'

Evaluations of Management Provided Information," *Auditing: A Journal of Practice and Theory*, Volume 23 (March) 2004, pp. 11-27.

Alan Mayper, Urton Anderson and Robert Kilpatrick, "Tax Workpaper Review: An Examination of the Effects of Order and Conclusion Presentation," Advances in Taxation, Volume 11, 1999, pp. 145-167.

Urton Anderson and Lisa Koonce, "Evaluating the Sufficiency of Causes in Audit Analytical Procedures," *Auditing: A Journal of Practice and Theory*, Volume 17 (Spring) 1998, pp. 1-12.

Urton Anderson, Lisa Koonce and Garry Marchant, "The Audit Review Process and its Effect on Auditors' Assessment of Evidence from Management," *Advances in Accounting, Volume 13*, 1995, pp. 21-37.

Lisa Koonce, Urton Anderson and Garry Marchant, "Justification of Decisions in Auditing" Journal *of Accounting Research*, Volume 33 (Fall) 1995, pp. 369-384.

Urton Anderson and Lisa Koonce, "Explanation as a Method for Evaluating Client-suggested Causes in Analytical Procedures," Auditing*: A Journal of Practice and Theory*, Volume 14 (Fall) 1995, pp. 124-132.

Urton Anderson, Lisa Koonce and Garry Marchant, "The Effects of Source-Competence Information and its Timing on Auditors' Performance of Analytical Procedures," *Auditing: A Journal of Practice and Theory*, Volume 13 (Spring) 1994, pp. 137-148.

Garry Marchant, John Robinson, Urton Anderson and Michael Schadewald, "The Use of Analogy in Legal Argument: Problem Similarity, Precedent and Expertise," Organizational *Behavior and Human Decision Processes*, Volume 55 (June) 1993, pp. 95-119.

Garry Marchant, John Robinson, Urton Anderson and Michael Schadewald, "Analogy and Tax Problem Solving," Advances *in Taxation, Volume 4*, 1992, pp. 225-246.

Garry Marchant, John Robinson, Urton Anderson and Michael Schadewald, "Analogical Transfer and Expertise in Legal Reasoning," Organizational *Behavior and Human Decision Processes*, Volume 48 (April) 1991, pp. 272-290.

Matthew Anderson, Urton Anderson, Richard Helleloid, Edward Joyce and Michael Schadewald, "Internal Revenue Access to Tax Accrual Workpapers: A Laboratory Investigation," *The Accounting Review*, Volume 65 (October) 1990, pp. 857-874.

Joanna Ho, Urton Anderson and Garry Marchant, "Inference and Explanation: Assessing the Likelihood of Conjunctive Events," *Psychological Reports*, Volume 67 (1990), pp. 659-688.

Urton Anderson, Garry Marchant, John Robinson and Michael Schadewald, "Selection of Instructional Strategies in the Presence of Related Prior Knowledge," Issues *in Accounting Education*, Volume 5 (Spring) 1990, pp. 41-58.

Urton Anderson and Garry Marchant, "The Auditor's Assessment of the Competence and Integrity of Client Personnel," *Auditing: A Journal of Practice and Theory*, Volume 8 (Supplement) 1989, pp. 1-17.

William Wright and Urton Anderson, "Effects of Situation Familiarity and Financial Incentives on Use of the Anchoring and Adjustment Heuristic for Probability Assessment," *Organizational Behavior and Human Decision Processes*, Volume 44 (August) 1989, pp. 68-82.

Urton Anderson, Garry Marchant and John Robinson, "Instructional Strategies and the Development of Tax Expertise," *The Journal of the American Taxation Association*, Volume 10 (Spring) 1989, pp. 7-23.

Garry Marchant, John Robinson, Urton Anderson and Michael Schadewald, "A Cognitive Model of Tax Problem Solving," *Advances in Taxation, Volume 2*, 1989, pp. 1-20.

Urton Anderson and Richard Young, "Internal Audit Planning in an Interactive Environment," *Auditing: A Journal of Practice and Theory*, Volume 8 (Fall) 1988, pp. 23-42.

Urton Anderson and William Wright, "Expertise and the Explanation Effect," *Organizational Behavior and Human Decision Processes*, Volume 42 (October) 1988, pp. 250-269.

Urton Anderson, Robert May and Carolyn Miles, "Development and Classroom Testing of Micro-Computer Software to Support Teaching Variables Sampling in Auditing," Volume 3 (Spring) 1988, pp. 156-173.

Michael Duane, Ross Azevedo and Urton Anderson, "Behavior as an Indication of an Opponent's Intentions in Collective Negotiations," *Psychological Reports*, **57** (1985), pp. 507-513.

PUBLICATIONS--BOOK CHAPTERS:

Urton Anderson and Margaret Christ, "Internal Auditing." In *The Routledge Companion to Auditing*, eds. D. Hay, W. R. Knechel and M. Willekens, New York: Routledge, 2014, pp.230-239.

Urton Anderson, "Assurance and Consulting Activities." In Research *Opportunities in Internal Auditing*, eds. A. Bailey, A. Gramling, and S. Ramamoorti, The Institute of Internal Auditors, 2003, pp. 97-129.

Urton Anderson, "Alternative Approaches to Audit and Program Review for Student Financial Aid Programs." In National Research Council, Commission on Behavioral and Social Sciences and Education, Quality in Student Financial Aid Programs: A New Approach. Washington: National Academy Press, 1993, pp. 225-242.

Urton Anderson, Lisa Koonce and Garry Marchant, "A Model of Audit Judgment: Cognition in a Professional Context." In L.

Ponemon and D. Gabhart, Eds, *Auditing: Advances in Behavioral Research*. New York: Springer-Verlag, 1991, pp. 43-73.

PUBLICATIONS--PROFESSIONAL ARTICLES:

Urton Anderson, Andrew Dahle, and Alice Mariano, “Tailoring IPPF Implementation,” *Internal Auditor*, June 2018, 29-33.

Urton Anderson, Ralph Kimbrough and Sean Liao, “Research: Institutional Review Boards and the Common Rule,” *Compliance Today*, January 2018, pp. 58-64.

Urton Anderson, Ralph Kimbrough and Sean Liao, “ IRBs and The Common Rule: New Challenges for Risk and Compliance,” *College and University Auditor*, Fall 2017, 4-8.

Urton Anderson and Brian Daugherty, “The Third Line of Defense: Internal Audits’ Role in the Governance Process,” *Internal Auditing*, July/August 2012, pp. 38-41

Urton Anderson and Sheryl Vacca, “Compliance and Internal Audit: A Dangerous Combination?” *College and University Auditor*, Summer 2011, 7-9.

Peter Hughes, Mary Kaidonis, and Urton Anderson, “Ethics Pays in so Many Ways,” *Fraud Magazine,* Volume 24 (July/August) 2008, pp. 28-31, 50-53. Winner of Hubbard Award 2009, for outstanding work published in *Fraud Magazine* in 2008.

Urton Anderson, "Assessing the Merits of Alleged Wrongdoing," *Internal Auditing*, Volume 10 (Spring) 1995, 48-54.

Urton Anderson, W. W. Cooper and David Lockhart, "DEA Evaluations of Performance Audits,” Internal *Auditing*, Volume 10 (Fall) 1994, 13-22.

Urton Anderson, "Effective Compliance Programs,” Internal *Auditing*, Volume 9 (Spring) 1994, pp. 46-50.

Urton Anderson, "The New Federal Sentencing Guidelines: Implications for the Internal Audit Function," *Internal Auditing*, Volume 8 (Fall) 1992, pp. 71-77.

Urton Anderson, "Internal Control and the Business Education Curriculum," *Internal Auditing*, Volume 8 (Summer) 1992, pp. 64-68.

Urton Anderson, "Internal Auditing in Times of Organizational Change," *Internal Auditing*, Volume 7 (Spring) 1992, pp. 68-72.

Urton Anderson, "Writing for the Profession," *Internal Auditing*, Volume 7 (Winter) 1992, pp. 72-76.

Urton Anderson, "Quality Assurance, Total Quality Management and the Evaluation of the Internal Audit Function," *Internal Auditing*, Volume 7 (Fall) 1991, pp. 66-71.

Urton Anderson, “The External Auditor's Consideration of the Internal Audit Function," *Internal Auditing*, Volume 7 (Summer) 1991, pp. 59-67.

Urton Anderson, "Creative Staffing Practices to Enhance Audit Effectiveness," *Internal Auditing*, Volume 6 (Spring) 1991, pp. 64-68.

Urton Anderson and Richard Young, "Strategic Auditing: A Different Game," *Internal Auditing*, Volume 4 (Fall) 1988, pp. 14-24.

Urton Anderson and Gordon Duke, "The Total Auditor: Fact or Fiction?" *The Internal Auditor*, October 1984, pp. 30-33.

Urton Anderson, "Quality Assurance and Review Alternatives," *The Internal Auditor*, February 1982, pp. 20-25.

PUBLICATIONS--RESEARCH REPORTS PROCEEDINGS & MISCELLANEOUS:

Urton Anderson and Gerry Zach (co-chairs), Compliance Risk: Management: Appying the COSO ERM Framework, COSO-Committee of Sponsoring Organizations of the Treadway Commission, November 2020. www.coso.org/Documents/Compliance-Risk-Management-Applying-the-COSO-ERM-Framework.pdf

Urton Anderson, Marcus M. Doxey, Marshall A. Geiger, Willie Earl Gist, Diane Janvrin and Paul Polinski, "Comments by the Auditing Standards Committee of the Auditing Section of the American Accounting Association on FASB Exposure Draft of Proposed Accounting Standard Update Notes to Financial Statements (Topic 235): Assessing Whether Disclosures Are Material, *Current Issues in Auditing*, December 2016, pp. C1-C9.

Urton Anderson, Margaret H. Christ and Diane J. Janvrin, "Comments by the Auditing Standards Committee of the Auditing Section of the American Accounting Association on The Institute of Internal Auditors' Proposed Enhancements to the *International Professional Practices Framework (IPPF)," Current Issues in Auditing,* June 2015, pp. C23-C33.

Urton Anderson, Willie E. Gist, Diane J. Janvrin and Marshall K. Pitman, Comments by the Auditing Standards Committee of the Auditing Section of the American Accounting Association on the IESBA ED Release (August 14, 2014), Proposed Changes to Certain Provisions of the Code Addressing the Long Association of Personnel with an Audit or Assurance Client," *Current Issues in Auditing,* June 2015, pp. C18-C22.

Urton Anderson, Lisa Gaynor, Karl Hackenbrack, Ling Lisic and Yi-Jing Wu, "Committee of the Auditing Section of the American Accounting Association on PCAOB Release No. 2013-009: Proposed Rule on Improving the Transparency of Audit: Proposed Amendments to PCAOB Auditing Standards to Provide Disclosure in the Auditor's Report of Certain Participants in the Audit," *Current Issues in Auditing*, December 2014, pp. C1-C7.

Urton Anderson, Margaret Christ, Karla Johnstone, and Larry Rittenberg, *Effective Sizing of Internal Audit Departments, The* IIA Research Foundation November 2010, 1-80.

Urton Anderson, Margaret Christ, Karla Johnstone, and Larry Rittenberg, *Effective Sizing of Internal Audit Departments for Colleges and Universities*, The IIA Research Foundation November 2010, 1-66.

Kenneth Lawson, Michael Johnsrud, and Urton Anderson, *Estimates of the Cost of Dispensing a Prescription in Texas Pharmacies*. Center for Pharmacoeconomic Studies, The University of Texas at Austin, Report of the Texas Health and Human Services Commission, November 2008.

Urton Anderson, "Development of the Proposed *Standards for the Professional Practice of Internal Auditing*," http://www.theiia.org/guidance/default.htm, July 2000.

Urton Anderson, "The New Attribute and Performance Standards," *Connections*, December 1999, pp. 3-4. Appeared in English, French and Spanish versions.

Urton Anderson, "Auditor Independence," *Sloan Management Review*, Volume 79 (Fall) 1997, pp. 5-6.

Urton Anderson, "Technological Change: A Glass Half Empty or a Glass Half Full," 1996 Deloitte and Touche/University of Kansas Symposium on Auditing Problems, May 1996, pp. 127-133.

Michael Barrett, Urton Anderson and Donald Ricketts, Editors, *Proceedings of the 1987 Risk Analysis Symposium*, Altamonte Springs, Fl: The Institute of Internal Auditors Research Foundation, 1988.

Michael Barrett, Urton Anderson and Donald Ricketts, "Risk Analysis and Internal Auditing: An Overview," *Proceedings of the 1987 Risk Analysis Symposium*, The Institute of Internal Auditors Research Foundation, 1988, pp. 1-8.

William Wright and Urton Anderson, "Anchoring and Context Familiarity Effects on Subjective Probability Assessments," condensed version is in the *Proceedings of the 1982 AAA Annual Meeting*, pp. 49-50.

Urton Anderson, P. George Benson and Edna White, "A Relative Area Approach to Subjective Probability Assessment." *Proceedings of the 1980 National AIDS Meeting*, 1980.

Urton Anderson, Jay Holmen and John Lere, "The True Irrelevance of Irrelevant Costs," *Proceedings of the Midwest Regional American Accounting Association*, 1980, pp. 49-61.

SELECTED PRESENTATIONS

"Ethic for Forensic investigators and Auditors: Dealing with MORAL DILEMMAS," 2 hour zoom presentation to the monthly meeting of the Kentucky Chapter of of The Association of Certified Fraud Examiners, May 2022.

.

"Characteristics of Compliance Programs Applicable to the Performance Component of ERM," (with Dan Roach), SCCE's Applying the COSO ERM Framework to Compliance Risk Management A Virtual Conference,December 2020 and January 2021

“Characteristics of Compliance Programs Applicable to the Review and Revision Component and the Information, Communication, and Reporting Component of ERM,” (with Gerry Zach), SCCE’s Applying the COSO ERM Framework to Compliance Risk Management A Virtual Conference,December 2020.

“Ethics for Internal Auditors: Dealing with Moral Dilemmas,” 2 hour zoom presentation to the monthly meeting of the Central Kentucky and Louisville Chapters of The Institute of Internal Auditors, March 2020.

“Auditing and Monitoring: Providing Assurances,” Compliance Academy - The Society of Corporate Compliance and Ethics, Four to ten times per year since 2007, last March 2020.

“COSO & Chapter 8: Twin Sons from Separate Mothers,” Cintas Institute for Business Ethics, Xavier University, November 2018.

“Ethics and the Internal Audior,” panel for CKIIA monthly meeting, November 2018.

“Bringing Real World Experience into the Classroom,” with Joseph Mauriello, 2018 Internal Auditing Education Partnership Exchange, Institute of Internal Auditors, Orlando, September 2018.

“Designing an Internal Audit Course and Careers in Internal Audit,” Kentucky Accounting Educator’s Conference 2018, Kentucky Society of CPAs, May 2018.

“AACSB Accounting Accrediation Seminar,” (with Shawn Mauldin), Pre-APLG meeting, San Antonio, February 2018.

“Compliance and the 3 Lines of Defense,” The Society of Compliance and Ethics, Alaska Regional Conference, Anchorage, June 2017.

“Auditing and Governance,” Internal auditing and internal control portions of course, 13 contact hours, Global MBA program, University of Pisa, May 2017, June 2016, May 2015, May 2014.

“Introduction to Healthcare Accounting,” (with Laurie Lafontaine), Board/Audit Committee Compliance Conference, Health Care Compliance Association, Scottsdale, February 2017.

"COSO Update," Updating the Professional Accountant Conference, Lipscomb University, Nashville, December 2016.

"Nexus of Accounting and Compliance," Audit & Compliance Committee Conference, The Society of Corporate Compliance and Ethics, Scottsdale, November 2016.

"Internal Auditor: The Trusted Advisor," (with Mark Salamasick), The Institute of Internal Auditors International Conference 2016, New York City, July 2016.

“Compliance Assurance,” (with Mark Salamasick), Higher Education Compliance Conference, Society of Corporate Compliance and Ethics, Baltimore, June 2016

"Risk is not Just another Four Letter Word: How a State Audit Educated a Kentucky School System," (with Dave Osborne), 1-day training, KASBO Workshop, Louisville, May 2016.

"Combined Risk Assurance: A Holistic Approach to Risk Management and Assurance Activities," Central Regional Conference, The Institute of Internal Auditors, Nashville, May 2016.

"How do we Measure the Impact of Accounting Research," (with Frances Ayres and Mary Stanford), 2016 APLG/FSA Joint Seminar, Savannah, February 2016.

"Connecting Compliance and Internal Audit," (with Martha Sarra), Grant Thornton - CAE Roundtable – Series #3, Columbus, December 2015.

"Introduction to Accounting," Audit & Compliance Committee Conference, The Society of Corporate Compliance and Ethics, Scottsdale, November 2016.

"Assurance Networks and Effective Corporate Governance," International XIX Turkey Internal Audit Conference, Istanbul, October 2015.

"Connecting Compliance and Internal Audit," (with Martha Sarra), Grant Thornton - CAE Roundtable – Series #3, Cincinnati, September 2015.

"Internal Auditing Textbook and Other Educational Resources," Educators' Forum, 2015 IAEP Leadership and Networking Retreat, Orlando, September 2015.

"Auditing the Organization's Ethics/Compliance Program," 2015 Audit Conference, Texas State Auditor's Office, Austin, August 2015.

"The IPPF Revisited: The Enhancements to the IPPF and What They Mean to You and Your Organization," Nashville IIA Chapter, Summer Seminar, July 2015

"IA and the Organization's Ethical Climate: Taking it to the Next Level," (with Mark Salamasick), The Institute of Internal Auditors International Conference, Vancouver, July 2015.

"Crossing the Morass: Solving the Compliance Role Puzzle in Higher Education Organizations," (with Joseph Maleszewski, D Richard Moyer, and Sheryl Vacca), Higher Education Compliance Conference, Society of Corporate Compliance and Ethics, Austin, June 2015.

"Designing Monitoring and Auditing Plans: Best Practices and Innovations," Mid Central Regional Annual Conference, Health Care Compliance Association, Louisville, November 2014.

"Practical Applications of the Code of Ethics for Compliance & Ethics Professionals," (with M. Doyle, J, Murphy, and A. Weiss), 2014 Compliance & Ethics Institute, The Society of Corporate Compliance and Ethics, Chicago, September 2014.

"Collision Avoidance: Managing and Monitoring Conflicts of Interest and Conflicts of Commitment," (with J. Fergusson), 12th Annual

Higher Education Compliance Conference, Society of Corporate Compliance and Ethics, Austin, June 2014.

"Much to do about Something: Navigating the world of Conflicts of Interest," (with D. Torpey), 2014 Annual Williamsburg Fraud Conference, IIA Tidewater Chapter, April 2014.

"Combined Assurance," Midwest Regional Conference, The Institute of Internal Auditors, Minneapolis, November 2013.

"Internal Audit's Role in Enhancing the Organization's Ethical Climate," 2013 All Star Conference, The Institute of Internal Auditors, New Orleans, October 2013.

Discussion of "The Influence of IA on Information Security Effectiveness: Perceptions of Internal Auditors," University of Waterloo Symposium on Information Integrity and Information Systems Assurance, Toronto, October 2013.

"Internal Audit, ERM and Internal Audit Performance Appraisal and Management," 2 and 1/2-day training seminar for SINOPEC's Audit Bureau, Houston Trade Center, September 2013.

"Combined Assurance: Meeting Shareholder Expectations for Assurance," presentation to the monthly meeting of the Central Kentucky Chapter of The Institute of Internal Auditors, September 2013.

"Auditing for Effective Organizational Governance," 5-hour seminar, DOE Contractors Internal Audit Directors 2013 Professional Development Seminar, Sandia National Laboratories, Albuquerque, July 2013.

"Managing and Monitoring Conflicts of Interest Practical Solutions," (with S. Vacca). Premeeting workshop, 11th Annual Conference for Effective Compliance Systems in Higher Education, Society of Corporate Compliance and Ethics, Austin, June 2013.

"Shooting the Messengers: A Survival Guide for Audit and Compliance in Higher Ed," (with C. Chaffin, M. Somich, and S. Vacca). 11th Annual Conference for Effective Compliance Systems in Higher Education, Society of Corporate Compliance and Ethics, Austin, June 2013.

"Managing and Monitoring Conflicts of Interest," (with D. Torpey) UT Dallas Fraud Summit, The University of Texas at Dallas, March 2013.

"Ethics for Internal Auditors: Dealing with Moral Dilemmas," half-day seminar, Twin Cities Chapter of the The Institute of Internal Auditors, St. Paul, March 2013.

"Managing Conflicts of Interest," half-day seminar, Twin Cities Chapter of the The Institute of Internal Auditors, St. Paul, March 2013.

"Traversing the Morass: Changes in Auditing Standards Present and Future," 2012 TSCPA Single Audits & Governmental Accounting Conference, Austin, October 2012.

"Assurance Coordination: Managing the Organization's Assurance Network," (with Mark Salamasick), Southern Regional Conference, The Institute of Internal Auditors, Austin, September 2012.

"Internal Audit Role in Enhancing the Organization's Ethical Climate," Southern Regional Conference, The Institute of Internal Auditors, Austin, September 2012.

"Dodd-Frank and the Jobs Act: Developments in SEC and Financial Market Regulation and Financial Market Regulation," Austin Chapter, Association of Government Accountants (AGA), Austin, September 2012.

"Ethics for Internal Auditors: Dealing with Moral Dilemmas," Half-day seminar for Texas Health and Human Services Commission, Austin, August 2012.

"Evaluating Ethics-related Programs and Compliance System Effectiveness," Half-day seminar for Texas Health and Human Services Commission, Austin, August 2012.

"Assurance Mapping - Meeting Stakeholder Expectations for Assurance: It Takes a Village," (with Mark Salamasick), The Institute of Internal Auditors International Conference, Boston, July 2012.

"Assurance Networks and Effective Corporate Governance," Annual Conference, Center for Innovation and Competition-based Development Studies, Bogazici University, Istanbul, May 2012.

"A Post SOX Examination of Factors Associated with the Size of IA Function," Rutgers University, March 2012.

"Meeting Stakeholder Expectations for Assurance: Internal Audit's Role in a Group Effort," Pre-meeting seminar for the Dallas Chapter of the The Institute of Internal Auditors, Dallas, February 2012.

"Assurance Coordination: Managing the Organization's Assurance Network, Presentation to Office of Internal Oversight Services, United Nations, New York, February 2012.

"Risk Management, Internal and External Audit and their Roles in Organizational Governance: Tools and Techniques for the Audit Curriculum," Moderator, Auditor Education Workshop," American Accounting Association - Auditing Section Mid-year Conference, Savannah, January 2012.

"The Role of Auditing in Effective Organizational Governance – A Partnership Among Management, the Board, Risk Management and Assurance," Moderator, panel presentation, American Accounting Association - Auditing Section Mid-year Conference, Savannah, January 2012.

"Internal Audit and the Organization's Ethical Climate," 2011 Professional Development Conference, Association of Public Pension Fund Auditors, Austin, Texas, November 2011.

"A Post SOX Examination of Factors Associated with the Size of IA Function," Wake Forest University, School of Business, October 2011.

"Governance, Risk Assessment and Transparency: Internal Audit's Role in a Group Effort," 2011 Single Audits & Governmental Accounting Conference, Texas Society of CPAs, Austin, October 2011.

Discussion of "Identifying Value-Added Roles for IA in Green IT Activities," University of Waterloo Symposium on Information Integrity and Information Systems Assurance, Toronto, October, 2011.

"Meeting Stakeholder Expectations for Assurance: Internal Audit's Role in a Group Effort," Winds of Innovation Conference, The Canadian Association of University Business Officers, Calgary, Alberta, June 2011.

"Right Sizing Internal Audit: How to Determine the Appropriate Allocation of Resources," Winds of Innovation Conference, The Canadian Association of University Business Officers, Calgary, Alberta, June 2011.

"Implementing Internal Control," 5-hour course for Sinopec Executive Education Leadership Program, McCombs School Executive Development, June 2011.

"Internal Auditing Research Opportunities in Internal Auditing," Nanjing Audit University, Nanjing China, May 2011.

"Internal Audit: Adding Value," 16-hour course for Nanjing Audit University, Nanjing China, May 31- June 4, 2011.

"Developing Tomorrow's Internal Auditor: What are the skills, abilities and knowledge needed?" Nanjing Audit University, Nanjing China, June 2011.

"The IIA Value Proposition: Focus on Insight," (with S. Morgan and D. MacCabe), The 21 Century Auditor, Austin Chapter of The Institute of Internal Auditors, May 2011

"Guidance from the Standards: What does the Auditor of the Future Need to Know?" (with S. Morgan) The 21 Century Auditor, Austin Chapter of The Institute of Internal Auditors, May 2011

"Using an ROI Tool to Promote Understanding of the Financial Implications of Hospital CS&E Projects," (with D. Platt and P. Simpson), Innovation in health Care Delivery Systems: Improving Systems for Improving Health and Health Behaviors, McCombs School of Business, April 2011.

"Internal Audit and Ethics in the Organization," 2011 Winter Seminar San Antonio Chapter of The Institute of Internal Auditors, March 2011.

"Internal Audit and Ethics," 3 hour workshop, Austin Chapter of The Institute of Internal Auditors, December 2010.

"Return on Investment for CS&E Projects," (with P. Simpson), Building the Bridge: Preserving Quality after Health Care Reform, The University of Texas System Clinical Safety and Effectiveness Conference, Austin, November 2010.

“Guidance for an Evolving Profession: The Internal Auditing Standards, the IPPF and the Yellow Book,” 4-hour workshop, Texas Department of Public Safety, Austin, October 2010.

“Effective Sizing of Internal Audit Activities for College & Universities,” 2010 Annual ACUA Conference, Association of College and University Auditors, Baltimore, September 2010

“Practical Applications of the Code of Ethics for Compliance & Ethics Professionals,” (with M. Doyle), 2010 Compliance & Ethics Institute, The Society of Corporate Compliance and Ethics, Chicago, September 2010.

“The Internal Auditing Standards - the IPPF and Additional Updates on Guidance,” Texas Department of Transportation, 21th Annual Audit Conference, Austin, June 2010.

"The Future of Auditing: An Update on the State of the Profession from Practice and Research", (panel member with E. Cohen, C. Fornelli, A. Kogan, P. McCollough, T. Stewart, M. Vasarhelyi), 2010 Annual Meeting of the American Accounting Association, American Accounting Association, San Fransisco, August 2010.

“A Post-Sox Examination of the Factors Associated with the Size of Internal Audit Functions,” National Chengchi University, Taipei, June 2010

“Research in Organizational Compliance and Ethics,” National Chengchi University, Taipei, June 2010

“Assessing the Effectiveness of Organizational Compliance and Ethics Programs,” National Chengchi University, Taipei, June 2010

“Ethical Culture and Compliance System Effectiveness,” NGB Region V Conference, San Antonio, May 2010.

“Rightsizing the Internal Audit Function,” presentation to the monthly meeting of the Austin Chapter of The Institute of Internal Auditors, April 2010.

“Internal Audit’s Role in Promoting and Evaluating Institutional Compliance,” session moderator, 8th Conference for Effective Compliance Systems in Higher Education, The University of Texas System-wide Compliance Program and the Society of Corporate Compliance and Ethics, April 2010

“Rightsizing the Internal Audit Function,” Houston IIA, 1st Annual Conference, Houston, April 2010.

“Enhancing the IA Value Proposition: Consulting, Operational Auditing and Blended Engagements,” one-day training seminar, the Kansas City Chapter, the Institute of Internal Auditors, April 2010.

“Internal Audit: Adding Value,” 16-hour course for Nanjing Audit University, Nanjing China, March 15-18, 2010.

“Internal Audit: Trusted, Recognized and Valued,” CAE Forum - IIA-Taiwan, Taipei, November 2009.

“Accounting Education and Auditing Research in US,” National Chengchi University, Taipei, November 2009

"Internal Auditing Research Opportunities in Assurance and Consulting Activities," National Taipei University, Taipei, November 2009

"Rightsizing the Internal Audit Function for Higher Education," (with M. Christ), Webinar, EthicsPoint and ACUA, August 2009.

"An ERM Framework: Process and Success," Association of Credit Union Internal Auditors, Region 4 Annual Meeting, Austin, August 2009.

"The New IPPF: Guidance for an Evolving Profession," Biennial US Department of Energy Contractors' Internal Audit Directors Conference, Albuquerque, August 2009.

"Assessing the Ethical Climate and Compliance System Effectiveness, "One half day training seminar, Texas Department of Transportation, 20th Annual Audit Conference, Austin, June 2009.

"Rightsizing the Internal Audit Function," presentation to the monthly meeting of the San Antonio Chapter of The Institute of Internal Auditors, June 2009.

"Audit101," 2-hour workshop, 7th Annual Conference for Effective Compliance Systems in Higher Education, Society of Corporate Compliance and Ethics, Austin, May 2009.

"Rightsizing the Internal Audit Function for Colleges and Universities," (with Margaret Christ and Pat Reed), 4 and ½ hour workshop, 2009 Midyear ACUA Conference, Association of College and University Auditors, Austin, April 2009.

"Measuring Program Effectiveness: What Works and What Doesn't," (with Larry Parson and Ed Petry), 3-hour workshop. 13th Annual Compliance Institute, Health Care Compliance Association, Las Vegas, April 2009.

"The New IPPF: Guidance for an Evolving Profession," half day workshop, Nashville Chapter of the Institute of Internal Auditors, February 2009.

"Hitting the Moving Target: Organizational Compliance in an Ever Changing World," presentation to the monthly meeting of the Nashville Chapter of The Institute of Internal Auditors, February 2009.

"The New IPPF: Guidance for an Evolving Profession," presentation to the monthly meeting of the Austin Chapter of The Institute of Internal Auditors, January 2009.

"Ethics Workshop: Ethics in the Boardroom," 1 and ½ hour work-shop, 2008 Society Fall Regional Conference, The Society of Corporate Secretaries and Governance Professionals, San Antonio, November 2008.

"International Standards for the Professional Practice of Internal Auditing," The 5th INTOSAI (International Organization of Supreme Audit Institutions) Professional Standards Steering Committee Meeting, Beijing, October 2008.

"Hitting the Moving Target: Organizational Compliance in an Ever Changing World," Texas Society of Certified Public Accountants Single Audits and Governmental Accounting Conference, Austin, October 2008.

"ERM: Is it really that hard?" (with Alan White), Moving Members' Money 2008: Maximizing Revenues & Managing Risk, U.S. Central Corporate Credit Union Conference, San Antonio, September 2008.

"Building Tomorrow's Internal Auditor," 2008 Annual ACUA Conference, Association of College and University Auditors, Phoenix, September 2008

"Effective Compliance," 2008 Annual ACUA Conference, Association of College and University Auditors, Phoenix, September 2008

"Auditing and Monitoring," (With Andrew Dahle), 3-hour workshop, 2008 Compliance & Ethics Institute, The Society of Corporate Compliance and Ethics, Chicago, September 2008.

"Practical Guidance for Implementing and Optimizing Control Monitoring Programs," (with Alan White), The Governance, Risk, and Compliance Conference, The Institute of Internal Auditors, Palm Beach, August 2008

. "Building Tomorrow's Internal Auditor," 2008 National Conference on Internal Auditing, The Institute of Internal Auditors Malaysia, Kuala Lumpur Malaysia, July 2008

"Risk Management and the Internal Auditor: Evolving to the Next Level," 2008 National Conference on Internal Auditing, The Institute of Internal Auditors Malaysia, Kuala Lumpur Malaysia, July 2008.

"Implementing the Professional Practices Framework," 3-hour workshop, 2008 National Conference on Internal Auditing, The Institute of Internal Auditors Malaysia, Kuala Lumpur Malaysia, July 2008.

"Building an Ethical Corporate Footprint," (with Cynthia Cooper and Glenn Sumners), 2008 International Conference, The Institute of Internal Auditors, San Francisco, July 2008.

"Organizational Risk Assessment," McCombs MBA Alumni Association – Tulsa Chapter, May 2008.

"Mission Impossible: HR/Compliance/Legal/Audit Interaction," (with Eric Klavetter, Odell Guyton, Gary Schokmiller), 12th Annual Compliance Institute, Health Care Compliance Association, New Orleans, April 2008.

"Internal Auditing Trends and Techniques," 1-day training, KPMG Advisory University, Orlando – May 2008, Dallas – February 2008.

"Developing Tomorrow's Internal Auditor," presentation to the monthly meeting of the Austin Chapter of The Institute of Internal Auditors, March 2008.

"Conflict of Interest," 2008 Midyear ACUA Conference, Association of College and University Auditors, Jacksonville, March 2008 and

Texas Association of College and University Auditors, Austin, May 2008.

“Monitoring” (with Alan White), SCCE Local Compliance Conference, Houston, March 2008.

“Organizational Oversight: Fulfilling the Board’s and Other Stakeholders’ Assurance Needs,” 1-hour seminar for the Austin Chapter of The Institute of Internal Auditors, February 2008.

“Ethics in the Boardroom,” presentation to the Houston Chapter of the Society of Corporate Secretaries and Governance Professionals, February 2008.

“Developing Tomorrow’s Internal Auditor,” presentation to the monthly meeting of the Dallas Chapter of The Institute of Internal Auditors, February 2008.

“Learning Assurance,” American Accounting Association 2008 New Faculty Consortium, Washington DC, February 2008.

“Integrating IIA and GAO Internal Auditing Standards,” (with David M. Walker, Sam McCall, Jeanette Franzel and Steve Morgan) IIA Web cast – 2 hours, January2008.

“Governance, Risk Management and Control: Internal Assurance and Consulting Services,” Panel discussion. Graduate Programs, Undergraduate Programs, and Emerging Curricula Conferences, AACSB, Baltimore, November 2007.

“Value Added Internal Auditing” six-hour seminar for the Tulsa Chapter of the The Institute of Internal Auditors, Tulsa, November 2007.

“Creating an Ethical Business Culture and the Control of Organizational Corruption,” presentation to the monthly meeting of the Tulsa Chapter of The Institute of Internal Auditors, November 2007.

“Rightsizing: How to Determine the Right Size of Audit Shops,” Southern Regional Conference, The Institute of Internal Auditors, Austin, October 2007

“Accounting and Fraud,” Fraud and Compliance Forum, American Health Lawyers Association, September 2007

“Financial Reporting Primer,” Fraud and Compliance Forum, American Health Lawyers Association, September 2007

“The Cutting-Edge in Internal Auditing Thought Leadership” (with K. Reding, P. Sobel, S. Ramamoorti, and M. Salamasick) Chapter Seminar, The Institute of Internal Auditors, Dallas Chapter, August 2007.

“Proficiency and Professionalism: Focusing on IIA Standards,” Web cast, The Institute of Internal Auditors, July 24, 2007.

“Credentials are Key: Preparing for the Computer-Based CIA Exam,” (with F. Alvern, A. Siegfried, and G. Grossi), Institute of Internal Auditors International Conference, Amsterdam, July 2007.

“Emerging Issues,” session moderator, 5th Conference for Effective Compliance Systems in Higher Education, The University of Texas System-wide Compliance Program and the Society of Corporate Compliance and Ethics, June 2007

“Professional Practices Framework and Quality Assessment Readiness Workshop,” International Atomic Energy Agency, Vienna, March 2007.

“Creating an Ethical Business Culture,” Audit and Compliance Committee Academy, Health Care Compliance Association, Phoenix, February 2007.

“Ethics for the Internal Audit Professional,” three and one-half hour seminar for the internal audit department, Texas Department of Heath and Human Services, January 2007.

“Creating an Ethical Business Culture and the Control of Organizational Corruption,” presentation to the monthly meeting of the Phoenix Chapter of The Institute of Internal Auditors, January 2007.

“Effective Compliance Systems: Design and Evaluation,” 3-hour seminar for the Phoenix Chapter of The Institute of Internal Auditors, January 2007.

“Red, Yellow and other Colors of the Rainbow: Standards and Professional Guidance for the New Internal Auditor” four-hour seminar for the internal audit department, Texas Commission of Environmental Quality, January 10, 2007.

“Red, Yellow and other Colors of the Rainbow: Standards and Professional Guidance for the New Internal Auditor,” seven-hour seminar for the Texas State Auditor’s Office, November 2006.

“Red, Yellow and other Colors of the Rainbow: An Update on Standards and Professional Guidance for Internal Auditors” and “Providing Assurance on Effective Compliance Systems: Supporting the Organizational Governance Process,” four-hour training seminar, Texas A&M System Internal Audit Office, College Station, November 2006.

“Ethics: Moral Dilemmas and Doing the Right Thing,” Physician Practice Compliance Conference, Health Care Compliance Association, San Francisco, October 2006.

“Creating an Ethical Business Culture,” Audit and Compliance Committee Academy, Health Care Compliance Association, Orlando, September 2006.

Discussant, "Internal Audit Characteristics and Earnings Management," American Accounting Association 2006 Annual meetings, Washington D.C., August 2006.

“The Impact of Regulations on Internal Audit,” 2006 Energy and Communications Conference, Texas Society of CPAs, Austin, May 2006.

"Providing Assurance on Effective Compliance Systems: Supporting the Organizational Governance Process," presentation to the monthly meeting of the Austin Chapter of The Institute of Internal Auditors, March 2006.

"Compliance Review Alternatives/Best Practices," session moderator, 4th Conference for Effective Compliance Systems in Higher Education, The University of Texas System-wide Compliance Program, March 2006.

"The SOX Act: Reforming Corporate Governance," U.T. LAMP (Learning Activities for Mature People), February 23, 2006.

"Enterprise Risk Management," one-day training seminar, the Kansas City Chapter, the Institute of Internal Auditors, November 2005.

"Your New Best Friends: What Audit Committee Members Need to Know About Internal and External Audit," FCCServices, Audit Committee: "A State of Mind" Conference, Dallas, October 2005.

"A Win-Win Audit Approach," presentation to the monthly meeting of the Austin Chapter of The Institute of Internal Auditors, October 2005.

"Corporate Governance and the Role of Internal Audit," panel presentation, 2005 Conference of the International Association of Accounting Education and Research, Bordeaux France, September 2005

"The SOX Act," Insurance and Financial Round Table, Hyatt Group, San Antonio, September 2005

"The Other Color: A Red Book Update," TSCPA Single Audits and Governmental Accounting Conference, Texas Society of CPAs, Austin, September 2005

"Effective Corporate Governance." Institute of Internal Auditors International Conference, Chicago, July 2005.

"Beyond Sarbanes-Oxley: Internal Audit's Changing Roles," Big XII Internal Audit Conference, College Station Texas, June 2005.

"The Lost Art of Sampling," half day training seminar, the El Paso Chapter of the Institute of Internal Auditors, January 2005 and Texas Association of College and University Auditors, 2005 Annual Conference, May 18, 2005.

"The IIA Standards: Evolution to Meet the Challenges of Today's Environment," AICPA/IIA Conference, Orlando, November 2004.

"Outsourcing, Offshoring and Privatization: Managing the Relationships," Training for City of Austin Accounting and Financial Staff, 2-hour session, October 2004.

"The Lost Art of Sampling," half day training seminar, the Houston Chapter of The Institute of Internal Auditors, October 2004.

"Beyond Sarbanes-Oxley," EEI Utility Internal Auditor's Training Course, Edison Electric Institute, New Orleans, September 2004.

"Managing Outsourcing/Offshoring Relationships," Emerging Tends and Leading Practices Conference, The Institute of Internal Auditors, Palm Beach, August 2004.

"Corporate Responsibility and Internal Audit Programs," Best of the Compliance Institute, Audio Conference, Health Care Compliance Association, August 2004.

"Current Research in Internal Auditing," Research and Education Forum, Institute of Internal Auditors International Conference, Sydney Australia, June 2004.

"High Performance ERM and Risked-based Internal Auditing," GIC –Global Intelligence Communications, 2-day training course, Shanghai, May 25 and 26, 2004.

"Corporate Responsibility and Internal Audit Programs," HCCA's 2005 Annual Compliance Institute, Health Care Compliance Association, Chicago, April 26, 2004.

"The New Independence Standard: Walking the Fine Line," presentation to Do the Right Thing: Building Accountability Back in the Process, Texas Government Internal Audit/Financial Management/Fraud Conference, Austin Texas, and February 2004.

"The Professional Practices Framework: Implementing the Professional Standards in a Post Sarbanes-Oxley World," four-hour training seminar, the El Paso Chapter, The Institute of Internal Auditors, January 2004.

"New Standards, New Challenges," presentation to the annual meeting of the Association of Public Pension Fund Auditors, San Antonio, November 2003.

"The Professional Practices Framework: Evolution and Application in a Post-Sarbanes-Oxley World," three-hour training seminar, the Central Illinois Chapter, The Institute of Internal Auditors, October 2003.

"IIA Professional Certification - In Support of Auditor Proficiency," presentation to the monthly meeting to the Central Illinois Chapter of The Institute of Internal Auditors, October 2003.

"Internal Auditing Update," FSU Fall Accounting Conference, Florida Institute of Certified Public Accountants, Tallahassee, October 2003.

"Internal Audit Department Executives' Perspectives on Corporate Responsibilities," moderator of panel, 2nd National Symposium on Corporate Responsibility: Compliance and Ethics Programs, Health Care Compliance Association/Microsoft, Redmond, Washington, October 2003.

"Dealing with the Moral Dilemmas," with Steve Tomlinson, six-hour training seminar for Audit Directors, Texas State Auditor's Office, Austin, July 2003.

"Auditor Independence," Financial Services Conference, The Institute of Internal Auditors, Philadelphia, May 2003.

"Ethics and the Bottom Line," with Steve Tomlinson, four-hour training seminar for Motorola Executive Education for the SPS Finance Professional, Austin, April 2003.

"New Standards, New Challenges," with David Crawford, eight-hour training seminar for the Texas State Auditors Office, Austin, January 2003.

"Enforcement Trends," moderator of panel, National Symposium on Corporate Responsibility: Compliance and Ethics Programs, Health Care Compliance Association/Microsoft, Redmond, Washington, November 2002.

"Living with Big Brother: Providing Quality Undergraduate Business Education in the Shadow of an MBA," moderator and panel member with Ellen Peirce and Gerald Rinehart, 2002 Undergraduate Programs Conference, AACSB, St. Louis, November 2002.

"Application of the Risk Management Partnership: Implementing the Assurance Plan, "Association of College and University Auditors, 46th Annual Conference, Salt Lake, September 2002.

"The Standards for Professional Practice," eight-hour training seminar, The Pre-Global Forum Seminar, The Institute of Internal Auditors, Beijing, September 2002.

"A New World for Auditing, Corporate Governance, and Internal Control: Reactions to US Accounting Scandals" Presentation to the Shanghai office of Deloitte Touche Tohmatsu, Shanghai, September 2002

"A New World for Auditing, Corporate Governance, and Internal Control: Reactions to US Accounting Scandals" Three-hour presentation to the Shanghai National Accounting Institute, Shanghai, September 2002

"Independence and Objectivity: A Framework of Internal Auditors," AICPA/IIA Value-Added Auditing Services Conference, The Institute of Internal Auditors, Las Vegas, April 2002.

"Independence and Objectivity: A Framework of Internal Auditors," General Audit Management Conference, The Institute of Internal Auditors, Las Vegas, March 2002.

"Recovery Initiative for Public Schools," Texas Association of School Business Officials, 56th Annual Conference, Corpus Christi, Texas, February 2002.

"IT and the Internal Auditor: The IIA and Opportunities for Research," Panel presentation, AIS Research Symposium, Phoenix, February 2002.

"IIA's New Professional Standards," 2001 Blue Cross and Blue Shield National Anti-Fraud & Internal Audit Conference, Washington, DC, June 2001

"Internal Audit Activity: The New Standards," Value-Added Auditing Services Conference – Canada, The Institute of Internal Auditors, Ottawa, May 2001.

"Ethical Issues and Corporate Governance – How it Affects Your Staff," Best Practices Conference, The Institute of Internal Auditors, Orlando, August 2000.

"When Disaster Strikes – How to Assist Your General Auditor in reducing the Risk of Outsourcing," Best Practices Conference, The Institute of Internal Auditors, Orlando, August 2000.

"Internal Audit Department, Size and Structure," Southern Africa Internal Audit Conference, Sandton, South Africa, September 1999.

"Teaching the Teacher," Southern Africa Internal Audit Conference, Sandton, South Africa, September 1999.

"Internal Audit in the 21st Century," Western Cape Chapter of IIA, Cape Town, South Africa, August 1999.

"Opportunities in Internal Auditing," presentations made to The University of Pretoria, Peninsula Technikon, and Technikon Northern Gauteng, August 1999.

"Operational Auditing," University of Pretoria, August 1999.

"The New Internal Audit Standards Framework," Pretoria Chapter of IIA and Institute of Public Finance and Auditing, Pretoria, August 1999.

TEACHING:

EY Professor, Von Allmen School of Accountancy, University of Kentucky, (2013 -)
Director, Von Allmen School of Accountancy, 2013 –
Courses taught:
- ACC 590 – Special Topics in Accounting Internal Auditing
- ACC 605 – Internal Audit
- CPH 655/ACC 628 – Managerial Accounting for Healthcare Organizations
- PA 627 – Governmental Audit
- ACC 619 – Independent Study in Accounting
- ACC 395 – Individual Work in Accounting

Phd Dissertations

Co-supervisor – R. Rakipi, University of Pisa, completed 2021.
Committee Member, P. Rusli, completed 2017.
Committee Member, R. Ewing, completed 2016.
Committee Member, Y. Chang, completed 2015.
Committee Member, L. Decaux, Université catholique de Louvain, completed 2015.

Professor, Department of Accounting, University of Texas at Austin, (1995 - 2013).
Chair, Department of Accounting, 2007 - 2011

Associate Dean for Undergraduate Programs, 1997 - 2007
Acting Chair, Department of Accounting, 1996
Courses taught:
- ACC 380K 21 Global Teams
- ACC 380K.19 International Accounting Policies and Procedures-Governance and Organizational Control Component (International Accounting Program in Prague)
-UGS 303: - Organizational Corruption and Organizational Control
- BA 382 T – Managerial Accounting (Mexico MBA program)
- ACC 380K International Accounting Policies - Governance and Organizational Control Component (International Accounting Program in Paris)
- Acc 380K.4 - Introduction to Auditing
- Acc 383K.2 - Management Auditing and Control
Individual Conference Courses

Course	Level	Students	Topics
BA 379	UG	2	Telephony Cost Analysis, Ethics
BA 391	MPA/MBA	55	Internal Audit, TQM in Managerial Accounting, SOX 404, Conflict Minerals, Furniture Industry and Lacey Act Compliance, Risk Management and Lehman Brothers Failure, SAP Controls, Risk Management, POS implementation, Internal Control
BA 391	Phd	8	Managerial Accounting, Auditing

Phd Dissertations
Co-chair, M. Ege, completed 2013
Co-chair, R. Alexander, completed 2001
Co-chair, J. Dowd, completed 1997.
External Examiner, R. Chivaka, University of Cape Town, 2003
Committee Member, T. Thornock, completed 2011.
Committee Member, B. Reichert, completed 2010.
Committee Member, A. Juarez (Higher Education) completed 2009
Committee Member, M. Christ, completed 2008
Committee Member, R. Autrey, completed 2005
Committee Member, C. Geisler, completed 2004
Committee Member, K. Towry, completed 2002
Committee Member, L. Milici Gaynor, completed 2000.
Committee Member, J. Miller, completed 2000.
Committee Member, S. Buchheit, completed 1997.
Committee Member, F. Phillips, completed 1996.

Associate Professor, Department of Accounting, University of Texas at Austin, (1989 - 1995).
Courses taught:
Acc 362 - Auditing and Control
Acc 386K.1 - Contemporary Accounting Topic (PhD seminar, first year students)
Acc 386K.5 - Behavioral Research in Accounting
Acc 380K.4 - Introduction to Auditing
Acc 383K.2 - Management Auditing and Control
Individual Conference Courses

Course	Level	Students	Topics
BA 379	UG	3	Internal Audit; Oil & Gas Accounting,
BA 391	Phd	4	Audit Judgment; Behavioral Accounting;
BA 391	MPA/MBA	10	Fraud Auditing; Bank Investments in Foreign CDs, Auditor Liability, Internal audit

Phd Dissertations
Chair, D. Bullis Fransson, completed 1994.

Co-chair, B. Spilker, completed 1993.
Committee Member, S. Vera Munoz, completed 1994.
External Examiner, J. Morrill, University of Alberta, completed 1994
Committee Member, D. Ogilvie (Management), completed 1994.
Committee Member, P. Ryan (Management), completed 1994.
Committee Member, C. Haynes, completed 1993.
Committee Member, K. Krawczyk, completed 1992.
Committee Member, M. K. Wright (MSIS), completed 1992.
Committee Member, S. Debusk (MSIS), completed 1991.
Committee Member, R. Christ, completed 1991.
Committee Member, P. Slade, completed 1990.
Committee Member, L. Kilbourne (Management), completed 1990.

Assistant Professor, Department of Accounting, University of Texas at Austin, (1985-1989).
Courses taught:
Acc 372K - Advanced Auditing
BA 382T - Managerial Accounting
Acc 312(H) - Managerial Accounting - Honors
Acc 386K.1 - Contemporary Accounting Topic (Phd seminar, first year students)
Acc 386K.5 - Communicative & Behavioral Dimensions of Accounting (Phd seminar - Behavioral)
Individual Conference Courses

Course	Level	Students	Topics
BA 379	UG	4	Internal Audit; Audit Topics
BA 391	MPA/MBA	8	EDP Audit; Auditing Research; Management Control; Internal Auditing
BA 391	Phd	9	Social Cognition; Audit Risk Assessment; Behavioral Accounting;

PhD Dissertations
Co-chair, M. Shelley, completed 1989.
Co-chair, M. Christ, completed 1988.
Committee Member, J. Delaney, completed 1989.
Committee Member, M. Gerbing, completed 1988.
Committee Member, D. Jones (MSIS), completed 1988.
Committee Member, P. Sandlin, completed 1987.
Committee Member, T. Ahn, completed 1987.
Committee Member, J. Ho, completed 1986.

Instructor, Department of Accounting, University of Texas at Austin, (1984 -1985).
Courses taught: Acc 372K - Advanced Auditing.

Faculty Member, The School for Bank Administration, Bank Administration Institute, 1982 and 1983.

Administrative Fellow, Department of Accounting, University of Minnesota (1981-1984).

Teaching Associate, Department of Accounting, University of Minnesota (1977-1984).
Courses taught: Introductory Financial Accounting; Introductory Managerial Accounting

Teaching Associate and Teaching Assistant, Department of Classics, University of Minnesota (1975-1977).
Courses taught: Greek Civilization: Age of Alexander

Instructor, Department of Independent Study, Continuing Education and Extension, University of Minnesota (1975-1977).
Course taught: Introductory Greek

PROFESSIONAL ACTIVITIES:

Board of Governors, Central Kentucky Chapter of The Institute of Internal Auditors (2019 – 2026).

President, Society of Corporate Compliance and Ethics/Health Care Compliance Association, (2016 – 2017)

Chair, Committee of Research and Education Advisors, The Institute of Internal Auditors, (2012 - 2015)

Associate Editor, *Managerial Auditing Journal*, (2016- 2017)

Treasurer, Society of Corporate Compliance and Ethics/Health Care Compliance Association, (2013 – 2014)

Secretary, Society of Corporate Compliance and Ethics/Health Care Compliance Association, (2012 - 2013)

Chair, Auditing Standards Committee, Auditing Section, American Accounting Association, (2013 – 2015).

Board Member, Internal Audit Foundation (formerly the IIA Research Foundation), (2012 – 2017)

Board Member, Society of Corporate Compliance and Ethics/Health Care Compliance Association, (2012- 2019)

Advisory Board Member, Society of Corporate Compliance and Ethics, (2006 - 2011)

Board Member, Health Care Compliance Association, (2006-2012)

Member, Accounting Accreditation Policy Committee, (2018– 2019)

Member, Accounting Accrediation Task Force, AACSB (2016-2018)

Member, Accounting Accreditation Committee, AACSB, (2009 - 2012)

Vice- Chair, Committee of Research and Education Advisors, The Institute of Internal Auditors, (2010 - 2012)

Past President, Auditing Section, American Accounting Association, (2010 - 2011)

President, Auditing Section, American Accounting Association, (2009 - 2010)

Chair, International Internal Audit Standards Board, The Institute of Internal Auditors, (2007 - 2010)

Panel Member, Economics and Business, Portuguese Science and Technology Foundation (FCT), 2010, 2011.

VP – Academics/President-elect, Auditing Section, American Accounting Association, (2008 - 2009)

Historian, Auditing Section, American Accounting Association, (2007- 2008)

Treasurer, Health Care Compliance Association, (2008 - 2009)

Chair, Board of Regents, The Institute of Internal Auditors, (2003-2007).

Chair, Internal Audit Standards Board, The Institute of Internal Auditors, (2002 – 2003)

Internal Auditing Standards Board, The Institute of Internal Auditors, (1999 – 2003)

Member, Vision for the Future Task Force, the Institute of Internal Auditors, 2006 – 2007

Co-editor, *Internal Auditing*, (1990 - 2001)

Editorial Advisory Board, *Research in Accounting Regulation*, (2005 - present)

Member, Code of Ethics Development Committee, Society of Corporate Compliance and Ethics, 2006-2007

Editorial Board, *Auditing: A Journal of Practice & Theory*, (1991 – 2005, 2008 - present)

Member, Distinguished Service Award Committee, Auditing Section, American Accounting Association, (2003 – 2004)

Board of Regents, The Institute of Internal Auditors, (1994 - 1999).

Board of Governors, Austin Chapter of The Institute of Internal Auditors, (1987-1990); (1991-1994); and (1998-2003)

Member, Certification in Control Self-Assessment (CCSA) Steering Committee, The Institute of Internal Auditors, (1997 - 2000)

Member, Certified Government Auditing Professional Steering Committee, The Institute of Internal Auditors, (1998 - 2002)

Chair, By-laws Committee, American Accounting Association, 1999 – 2000.

Member, By-Laws Committee, American Accounting Association, 1998 – 1999.

Program Chair, 1997 Midyear Conference, Auditing Section, American Accounting Association.

Regional Director - Southwest, Management Accounting Section, American Accounting Association, 1995- 1996.

Member, Professional Examinations Committee, American Accounting Association, 1995-1996.

Editorial Board, *The Accounting Review*, (1989 - 1993)

Editorial Board, *Advances in Accounting*, (1989)

Editorial Board, *Southwest Business Review*, (1990 - 1992)

Committee member, (1985-1993) Board of Research Advisors, The Institute of Internal Auditors.

-Chairman of the Project Initiation and Approval Subcommittee (1991 -1993)
-Chairman of the Monograph Subcommittee (1987-1991)
-Chairman of Doctoral and Chapter Research Subcommittee (1985-1987)

Member, Executive Committee, Opportunities for Youth Partnered Audit, Austin City Auditor's Office, (1993 - 1995).

Member, Speaker's Advisory Task Force on Internal Auditing, Texas House of Representatives, (1988)

Member, Deloitte Haskins & Sells Wildman Medal Committee, American Accounting Association, (1988-1989)

Member, Research Committee - Five Year Program Capacity, Federation of Schools of Accountancy, (1987 - 1989).

Member, Steering Committee, 2nd Annual Behavioral Decision Research in Management Conference, 1987.

Ad hoc reviewer:

- *Accounting Historians Journal*
- *Accounting Horizons*
- *Accounting Review*
- *Accounting, Organization and Society*
- *Advances in Accounting*
- *Advances in Accounting Information Systems*
- *Advances in Taxation*
- *Auditing: A Journal of Practice & Theory*
- *Behavioral Research in Accounting*
- *Business & Professional Ethics Journal*
- *Contemporary Accounting Research*
- *Decision Support Systems*
- *Journal of Accounting and Public Policy*
- *International Journal of Auditing*
- *Issues in Accounting Education*
- *Journal of Accounting Research*
- *Journal of the American Taxation Association*
- *Journal of Management Information Systems*
- *Management Science*
- *Managerial Auditing Journal*
- *Meditari*
- *Monograph Series of the Academy of Accounting Historians*
- *Organization Science*
- National Science Foundation
- Hong Kong Research Grants Council
- Research Council of Canada, Social Sciences and Humanities
- Research in Accounting Regulation
- Research on Accounting Ethics
- Addison-Wesley Publishing Company
- Cambridge University Press
- Richard D. Irwin, Inc.
- West Publishing Company

COMMITTEES:

DEPARTMENT

Chair, Phd Comprehensive Exam Committee, Spring and Fall 1986, Spring and Fall 1987, Spring 1988, Spring 1993, Spring 1994, Spring 1995, Spring 1996.

Member, Ad hoc 2000 Curriculum and Resource Development Committee, 2000.

Member, MPA/PPA ad hoc Curriculum Review Committee, 2000

Member, Executive Committee, 1989-1991, 1993 – 1996, 2001 - 2003.

Chair, Ad Hoc Undergraduate Program Review Committee, 1992/93

Chair, Phd Admissions Committee, 1989/90.

Faculty Advisor, Management Accounting and Control Track, PPA/MPA program, 1994- 2012.

Faculty Advisor for MPAC (Masters in Professional Accounting Council), 1994 - 1996.

Member, Phd Comprehensive Exam Committee, 1991, 1992.

Member, Phd Admissions Committee, 1987-1989.

Member, Policy and Advisory Committee (PAC), 1987/88.

Member, Dean's ad hoc Committee on Departmental Governance, 1988.

Member, Faculty Recruiting Committee, 1987, 1992.

Member, Audit Curriculum Committee, 1984-1986.

Member, Advisory Council Audit Curriculum Committee, 1984-1987.

COLLEGE OF BUSINESS ADMINISTRATION

Co-coordinator for Accreditation and Assessment, 2012.

Chair, Undergraduate Business Programs Committee, 1994- 1995

Member, Executive Committee, 2003- 2011.

Member, Operating Committee, 2003- 2011.

Member, Strategic Planning Committee, 2008-2009.

Member, Resource Committee, 1996- 2003

Ex-officio - Undergraduate Academic Programs Committee, 1997 - 2007.

Ex-officio - CBA Honors Program Committee, 1997 - 2007.

Ex-officio - Undergraduate Student Affairs Committee, 1997 - 2003.

Member, Undergraduate Business Programs Committee, 1992-1996

Member, MBA Core Council, 1992 - 1993

Member, MBA Task Force, 1991 - 1992.

Member, CBA Budget Advisory Committee, 1988, 1989, 1990, 1991.

Member, Behavioral Laboratory Steering Committee, 1987- 1996.

UNIVERSITY

Chair, Student Deans, 1999 – 2001

Chair, Parking and Traffic Policies Committee, 1994 - 1995.

Chair, Admissions and Registration Committee, 2004 – 2005, 2012 –2013.

Chair, Unrestricted Endowed Presidential Scholarship Committee, 2005-2006.

Member, General Education Liaisons' Council, 2012-2013.

Member, Ethics and Leadership Flag Committee, 2012- 2013.

Member, Strategic IT Advisory Committee, 2008-2009.

Member, Enrollment Strategy Task Force, 2008-2009.

Member, Undergraduate Studies Advisory Committee, 2006-2007

Member, Deans' Curriculum Reform Working Committee, 2006

Member, Search Committee for Director of Internal Audit, 2005

Member, Honor Code Implementation Committee, 2004

Member, Institutional Compliance Review Committee – Athletic Compliance, 2004.

Member, Task Force on Enrollment Strategy, 2002 – 2003

Member, Unrestricted Endowed Presidential Scholarship Committee, 2002- 2007.

Member, e-University Steering Committee, 2000 – 2007

Member, IQ Steering Committee, 2003 – 2008

Member, Educational Policy Committee, 1999 – 2001, 2002 – 2005, 2006-2010.

Member, Admissions and Registration Committee, 1999 – 2000, 2002 – 2005, 2007- 2008, 2012- present.

Member, Texas Student Publications Board of Operating Trustees, 1994 – 1998, 2002 – 2007

Member, Faculty Council, 1998 – 2000, 2002 – 2004, 2006 – 2010, 2012 – present.

Member, Graduate Council, 2002 – 2005.

Member, Search Committee for CFO, 2001.

Member, Top 10% Committee, 1999 – 2000.

Member, Ad Hoc Committee on Campus Masterplan, 1999 - 2000

Member, Sequitur Operations Transition Team, 1998 - 1999.

Member, Parking and Traffic Policies Committee, 1993 - 1994; 1998 - 1999.

Member, Search Committee for the Director of Internal Audits, 1995.

University of Texas Systems
Member, System-wide Compliance Committee, 1999-2003.

RESEARCH & BUSINESS EXPERIENCE:

Director, C. Aubrey Smith Center for Auditing Education and Research (1992 -1993, 1996-1997), Associate Director (1989 - 1992).

Vice – Chair, Board of Directors, University Federal Credit Union, (2008).

Board Member, University Federal Credit Union, (2007 - 2008).

Supervisory Board, University Federal Credit Union (2006 – 2007)

Project Researcher, The Institute of Internal Auditors (1979-1982).

Project Assistant, Department of Industrial Relations, University of Minnesota (1977-1978).

Auditor, Deloitte Haskins and Sells (1979 -- on leave from Phd program).

Project Assistant, Department of Social, Psychological and Philosophical Foundations of Education, College of Education, University of Minnesota (1975-1977).

AWARDS & HONORS:

Inducted into the American Hall of Distinguished Audit Practitioners, Institute of Internal Auditors, March 2016.

2013 AAA Accounting Horizons Best Paper Award, for paper A Post-SOX Examination of Factors Associated with the Size of Internal Audit Functions" by Urton L. Anderson, Margaret H. Christ, Karla M. Johnstone, and Larry E. Rittenberg, August 2013.

Hubbard Award 2009, Author of an outstanding work published in Fraud Magazine, for article with P. Hughes and M. Kaidonis, "Ethics Pays in so Many Ways," Association of Certified Fraud Examiners, July 2009.

The Bradford Cadmus Memorial Award for outstanding contribution to the field of internal auditing, The Institute of Internal Auditors, June 2006.

Austin Chapter, The Institute of Internal Auditors, Lifetime Achievement Award, May 2006

1997 Leon R. Radde Educator of the Year Award, The Institute of Internal Auditors, 56th International Conference, Philadelphia.

MPA Council Outstanding Professor Award, 1998

Clark W. Thompson, Jr. Professorship in Accounting Education, 1997.

Austin Chapter, The Institute of Internal Auditors, Outstanding Professional Service Award, 1996

KPMG Peat Marwick, Research Opportunities in Auditing Grant, 1994.

Hinkle Award 1993 - The Institute of Internal Auditors

KPMG Peat Marwick Foundation Faculty Fellow, 1990-1992

KPMG Peat Marwick, Research Opportunities in Auditing Grant, 1991.

KPMG Peat Marwick Foundation Research Fellowship, 1988-1989.

Atlantic Richfield Centennial Fellowship, 1993 - 1997.

Certified Internal Auditor, 1992 (Honorable Mention, May 1992 examination).

Hinkle Award-1986 - The Institute of Internal Auditors (with Richard Young)

Research project "Total Auditor: Fact or Fiction?" conducted with Gordon Duke. Won 1st place in The Institute of Internal Auditor's 1983/84 chapter research competition.

Nominated - Outstanding Professor Award (Core courses), Graduate Business Council, Fall 1987 and Spring 1988.

Nominated - Outstanding Graduate Teacher Award, Graduate School - The University of Texas, Spring 1988.